

## **DOCCS FACT SHEET**

February 1, 2024

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these incarcerated individuals to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 44 facilities that house 32,736 incarcerated individuals and the supervision of 17,711 active releasees in New York State. In total, there are 25,054 parolees currently under DOCCS' responsibility, which includes parolees actively reporting in New York and out-of-state, those in the violation process, in absconding status, deported, or locally incarcerated.

## **Prison Safety**

Statewide Assaults									
2019 2020 2021 2022 2023 2024									
Assaults on Staff Statewide	1,043	1,052	1,177	1,473	1,671	186			
Assaults on Incarcerated Individuals Statewide	1,267	1,206	1,107	1,488	2,107	220			

Maximum vs. Medium Facility Incarcerated Individual-on-Staff Assaults* 2019-2024										
	2019	2020	2021	2022	2023	2024 YTD				
Assaults on Staff Statewide	1,043	1,052	1,177	1,473	1,673	186				
Maximum-Security*	770	774	887	1,019	953	112				
Medium-Security	249	262	286	430	691	72				

<sup>\*</sup>Includes disciplinary confinement SHU units and Regional Medical Units.

**Note:** Unlike the Penal Law where physical injury is a required element, any attack by an incarcerated individual is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2023 4 <sup>th</sup> Quarter Assaults										
	Oct	Nov	Dec		Total					
Assault on Incarcerated Individual	193	181	201		575					
Assault on Staff	167	169	152		488					
Total	360	350	353		1,063					

	Assault Deg	ree of In	jury to Sta	ff							
Incident Type: Staf	Incident Type: Staff Injuries during an Incarcerated Individual on Incarcerated Individual Assault										
	Nov '23	Nov '23 Dec '23 Jan '24									
No Injury	598	695	748		2,041						
Minor*	20	17	24		61						
Moderate**	1	1	1		3						
Serious***	0	0	0		0						
Severe****	0	0	0		0						
Total	619	713	773		2,105						
Incident Type: Staff	Injuries during	g an Incarc	erated Indiv	idua	on Staff Assault						
	Nov '23	Dec '23	Jan '24		Total						
No Injury	718	608	744		2,070						
Minor*	268	234	224		726						
Moderate**	16	17	18		51						
Serious***	5	6	4		15						
Severe****	0	0	0		0						
Total	1,007	865	990		2,862						

Note: This table is based upon the number of staff injuries sustained in assaults on staff and assaults on incarcerated individuals each month and not the number of incidents.

Effective October 1, 2014, per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

## **Escape Incidents**

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

## **Prison Closures**

- Since 1999, New York's prison population has declined by 54.9 percent, from a high of 72,649 incarcerated individuals to 32,736 (2/1/24).
- Since 2011, the State has eliminated more than 13,000 prison beds and closed a total of 24 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$442 million.
- DOCCS security staff reductions have been consistent with incarcerated population declines.
   In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

<sup>\*</sup>Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

<sup>\*\*</sup> Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

<sup>\*\*\*</sup>Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

<sup>\*\*\*\*</sup> Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

	DC	OCCS Sec	urity Staft	and Incarcer	ated Popu	llation	
End of Year	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Incarcerated Population	Numeric Change	Percent Change	Staffing Ratio (Incarcerated Population vs. Filled Uniform Staff Positions)
2003	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
2004	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
2005	21,420	352	1.7%	63,930	-975	-1.5%	3.0
2006	21,505	85	0.4%	64,410	480	0.8%	3.0
2007	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
2008	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
2009	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
2010	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
2011	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
2012	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
2013	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
2014	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
2015	19,360	358	1.9%	52,344	-759	-1.4%	2.7
2016	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
2017	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
2018	19,295	53	0.3%	47,459	-2,812	-5.6%	2.5
2019	19,072	-223	-1.2%	44,334	-3,125	-6.6%	2.3
2020	18,541	-531	-2.8%	34,446	-9,888	-22.3%	1.9
2021	17,415	-1,126	-6.1%	30,746	-3,700	-10.7	1.8
2022	16,178	-1,237	-7.1%	31,329	583	1.8%	1.9
2023	15,143	-1,035	-6.4%	32,766	1,437	4.6%	2.2

Staffing and Population Differences									
12/31/99 2/1/24 Numeric Percent Change									
Correction Officers, Sergeants, Lieutenants	22,112	15,059	7,053	-31.9%					
Incarcerated Individuals	72,649	32,736	39,913	-54.9%					

	DOCCS Correctional Facility Security Personnel (Council 82/NYSCOPBA Staff)													
As of December	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024
Council 82														
Lieutenants	458	483	482	472	475	471	480	478	470	436	413	407	424	429
NYSCOPBA														
Sergeants	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171	1,094	1,028	1,052	1,043	1,047
Officers	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431	17,071	15,974	14,846	13,676	13,583
Subtotal	19,114	18,937	18,817	18,744	18,807	18,780	18,774	18,712	18,602	18,165	17,002	15,898	14,719	14,630
Total	19,572	19,420	19,300	19,216	19,282	19,251	19,254	19,190	19,072	18,601	17,415	16,305	15,143	15,059

Community Supervision Staffing as of February 2, 2024							
Supervising Parole Officers	31						
Senior Parole Officers	86						
Parole Officers	358						
Total	475						

<sup>\*</sup>Average caseload cannot be determined using this data

	Number and Percent of Incarcerated Individuals Convicted of a Violent Felony Offense In Male Maximum and Medium Security Facilities February 1 <sup>st</sup> of Selected Years ( <i>Mal</i> es <i>Only</i> )										
	2014 2019 2024										
	Number of VFOs	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%		
Maximum Facilities	18,404	22,584	81.5%	16,540	20,090	82.3%	12,642	14,478	87.3%		
Medium Facilities	15,223	26,825	56.7%	13,067	22,968	56.9%	10,443	16,124	64.8%		

SHU Cell Occupants Effective Beginning of Business									
Inmate Status	11/1/2023	12/1/2023	1/1/2024	2/1/2024					
SHU Disciplinary Sanction	111	125	142	190					
KEEPLOCK Disciplinary Sanction	0	0	0	0					
Administrative Segregation	0	0	0	0					
Involuntary Protective Custody	0	0	0	0					
Pending Disciplinary Hearing	142	144	165	148					
Pending Movement From Unit	1	3	3	4					
Pending Investigation	0	0	0	0					
Special Watch	0	0	0	0					
Voluntary Protective Custody	0	0	0	0					
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	254	272	310	342					

Statewide Incarcerated Individuals Convicted of a Violent Felony Offense									
End of Year 2020 2021 2022 2023 2024 YTD									
Violent Offenders	25,193	22,706	23,208	24,020	24,023				

2018 Releases by Return Rate										
Release Type And Release Cohort	Total Released	Total Re	turned		rn Type ommitment	Return Type Parole Violator				
2018 Releases	20,921	6,613	31.6%	1,198	5.7%	5,415	25.9%			
Parole	9,804	3,889	39.7%	528	5.4%	3,361	34.3%			
Conditional Release	9,173	2,473	27.0%	419	4.6%	2,054	22.4%			
Maximum Expiration	1,944	251	12.9%	251	12.9%	N/A	N/A			

**Note**: DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an incarcerated individual's sanctioned release to the community.

**Note**: DOCCS followed a 2018 release cohort of formerly incarcerated individuals for three years to obtain the return rate data in the above table.

Correctional Facility by Manner of Death: YTD 2024								
Facility	Manner of Death							
	Accident		Homicide	Natural Causes	Suicide	Overdose	Unknown	Total
Attica	0		0	1	1	0	0	2
Auburn	0		0	0	0	0	1	1
Cayuga	0		0	1	0	0	0	1
Coxsackie	0		0	1	0	0	0	1
Fishkill	0		0	1	0	0	0	1
Great Meadow	0		0	1	0	0	0	1
Green Haven	0		0	0	0	0	2	2
Mohawk	0		0	3	0	0	0	3
Ulster	0		0	0	0	0	1	1
Wyoming	0		0	0	0	0	1	1
Total	0		0	8	1	0	5	14

**Note:** Unusual Incident Data is preliminary as of February 1, 2024 and is subject to change.

Deaths are categorized during unusual incident reporting and are subject to change upon receipt of an official autopsy report.